Mofid Securities

Trading License Application for the Iranian Issuers' Securities

(for natural persons only)

To: The Securities and Exchange Organization

With due respect, Upon submission of this application form, I, the undersigned, request the Securities and Exchange Organization to issue a trading license on the strength of the By-law Governing Foreign Investment in the exchanges and OTC markets and within the domain of the executive regulations thereof in my name so as to be authorized to trade in:

- ☐ Tradable securities on the Tehran Stock Exchange
- ☐ Tradable securities on the Iran Farabourse Co.
- ☐ Other securities issued by the Iranian issuers[1]

Applicant's Identification data

1- Particulars of applicant (this section should be completed on the basis of the identification documents the copy of which are attached hereto):

Name:		Surname:		Father Name:	
Sex:	☐ Female ☐ Male	Date of birth:		Nationality:	
Place of birth: Country:		Province/County:		City:	
ID Card produced: ID type:		ID No.:		Issuing country:	

2- Contact information[2]:

Applicant's domicile/residence Country:		Province/County:		City:	
Address:				Zip (postal code):	
Telephone No:		Fax No.:		Email:	

Name	Signature

[1] Allowed to tick more than one choice.

[2] The Securities and Exchange Organization, Tehran Stock Exchange and Iran Farabourse Company deem the applicant's contact information as stated in this form to be valid for contacts and correspondence unless such information is changed and thereafter the applicant reports this new information in writing to the Securities and Exchange Organization. As such, the new contact information shall be deemed to be valid by the said entities.

3- Applicant's current activities/employment:

4- The amount of foreign capital allocated to investing in securities under the present application form:

Amount of investment	Type of foreign currency

5- The table below should be completed for the capital inflow schedule:

Duration	1 to 6 months upon issue of trading license	6 to 12 months upon issue of trading license	After 12 months upon issue of trading license
Amount			
Type of foreign currency			

6- Style of managing imported capital[3]:

- ☐ Directly by the applicant
- ☐ Indirectly through the applicant's agent or attorney

7- I have submitted this application form while I have full knowledge about the laws and regulations relating to the investment in securities as stated herein which have been approved by the competent authorities in Iran and have agreed to be bound by such laws and regulations and I have understood that in case I do any act in breach of the laws and regulations stated herein, I shall be liable to the treatment stipulated in the prevailing rules and regulations in Iran.

8- Applicant's signature (besides the above table, the previous pages should also be signed)

Name	Signature

[3] The contents of the items 4, 5 and 6 are for the information of the Securities and Exchange Organization and shall not bear any liability for the applicant.

The applicant is required to submit the following documents along with this application form:

A	Applicant's letter addressing the broker containing the request for issuing of a trading license by the Organization (Page 4 of this Form)
B	The originals and copies of the passports.

Notes:

1- The above-mentioned documents shall be typed in English.

2- If the copies of the documents produced have been certified by the following appropriate authorities, it shall not be required to present the original documents:

A) The Embassy or the Consulate of I.R.I at the applicant's place of residence;

B) The competent authorities which have been designated for such purposes in the country of the applicant's residence or in the country which has issued the documents.

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To whom it may concern
With compliments and due respect,

Enclosed please find my trading license application for the Iranian issuers' securities along with the required documents to be submitted to the Securities and Exchange Organization for issuance of a trading license in my name.

Truly yours,